



Request for Fund Withdrawal or Account Transfer

客戶資金提取及轉戶通知書

EXPRESS
Internal Use Only

Date 日期: _____

- Haitong Intl. Sec. Co. Ltd. - Securities Haitong Intl. Sec. Co. Ltd. - Options Haitong Intl. Sec. Co. Ltd - Forex Haitong Intl. Bullion Ltd.
 Haitong Intl. Futures Ltd. - Local Haitong Intl. Futures Ltd. - Overseas Haitong Intl. Finance Co. Ltd.

To be completed by responsible AE/Branch/CS staff 負責之經紀/分行職員/客戶服務部職員專用			
Name of AE/Branch/CS staff _____		Signature _____	
AE/Branch Code _____		MSS checked by _____ (Branch Staff)	
Tel. Ext. _____		Received Date/Time _____	

Account Name 賬戶姓名: _____ Account No. 戶口號碼: _____

Local Fund Withdrawal 本地資金轉賬 <input type="checkbox"/> Crossed Cheque 收票人過戶支票 <input type="checkbox"/> Cash Cheque 收票人現金支票 <input type="checkbox"/> CHATS 電子現金付款			
Withdrawal Amount 提款金額	ccy 貨幣	Amount 金額	Payee 收款人
Bank 銀行	Account No. 戶口號碼		Remarks 備註
<input type="checkbox"/> Collect cheque today at 客人今天收票於			

Account Transfer 戶口轉賬			
Transfer Amount 轉賬金額	ccy 貨幣	Amount 金額	To Account Name 轉致客戶姓名
To Account No. 轉至戶口號碼			<input type="checkbox"/> Haitong Intl. Bullion Ltd. <input type="checkbox"/> Haitong Intl. Finance Co. Ltd.
<input type="checkbox"/> Haitong Intl. Sec. Co. Ltd. - Securities <input type="checkbox"/> Haitong Intl. Sec. Co. Ltd. - Options <input type="checkbox"/> Haitong Intl. Sec. Co. Ltd. - Forex			
<input type="checkbox"/> Haitong Intl. Futures Ltd. - Local <input type="checkbox"/> Haitong Intl. Futures Ltd. - Overseas			

Overseas Fund Transfer 海外資金轉賬 *請向收款銀行確認可以接收從本公司匯出的款項,客戶須承擔因匯款被退回而衍生的任何費用。* Please confirm that the receiving bank is agreed to accept the fund to be transferred. Client shall bear all related charges arising from the returned remittance.			
Account Debit 戶口支付	ccy 貨幣	Amount 金額	Amount Expected by Client 客戶預期收款金額
Beneficiary Name 收款人姓名			Beneficiary Account No. 收款人戶口號碼
Receiving Bank 海外收款銀行		SWIFT Code	
Bank/Branch Address 銀行/分行地址		Bank Code 銀行代號	Branch Code 分行代號
Intermediary Bank (if applicable) 中轉銀行 (如適用)		SWIFT Code	
Intermediary Bank Address (if applicable) 中轉銀行地址 (如適用)		Bank Code 銀行代號	Branch Code 分行代號
Remarks 備註			



*Exception: _____
Approved by: _____

戶口持有人簽署 (日期) Client's Signature (Date) (請用留存本公司印鑑簽署)

Sales Director's / Head of BU's Signature (Date)
銷售董事 / 前線部門主管簽署 (日期)

For Management Approval		
Margin Financing HKD 1M – below 3M (Eqv.) 融資額度由一百萬至三百萬港元等值以下 *Approval by (1) + (2)	Margin Financing HKD 3M – below 10M (Eqv.) 融資額度由三百萬至一千萬港元等值以下 *Approval by (1) + (2) + (3)	Margin Financing equal and over HKD 10M (Eqv.) 融資額度一千萬以上港元等值 *Approval by (1) + Any 2 (Sales Director / CFO / COO)
(1) Credit Control's Signature (Date) 信貸管理部授權人簽署 (日期)	(3) Treasury Director's Signature (Date) 司庫董事簽署 (日期)	
(2) Sales Director's Signature (Date) 銷售董事簽署 (日期)	(4) CFO / COO's Signature (Date) 財務總監 / 營運總監簽署 (日期)	
Internal Use Only		
Checked for withdrawal/transfer over HKD1M		
<input type="checkbox"/> Satisfactory <input type="checkbox"/> Investigate	Investigated by _____	Cheque No. _____ Audited by _____ Approved by _____