

Haitong International Named as “Best ETF Market Maker” and “Best Equity Derivatives House” by The Asset

Hong Kong, 12 September 2018 – Haitong International Securities Group Limited (“Haitong International”, Stock Code: 665.HK) is named as “Best ETF Market Maker” and “Best Equity Derivatives House” by The Asset.



Haitong International is one of the financial institutions in Hong Kong with the most comprehensive product range covering all derivatives products for open markets and OTC markets. Its key businesses include prime brokerage services, ETF and leverage and inverse products, OTC products, warrants and CBBCs, and all of which are in the leading market positions.

Since the debut of its ETF business in May 2013, Haitong International has become one of the major ETF market makers in Hong Kong and conducted market-making for 79 ETFs by 31 August 2018.

As of 20 June 2018, Haitong International has launched 1,630 warrants and 911 CBBCs, with a total of 1038 warrants listed. As at the end of May 2018, the underlying asset of derivative warrants reached 198, ranking No.1 in the market, and 17 of which were exclusively launched by Haitong International. In terms of number of underlying assets, net inflows, outstanding CBBC residual value and trading volume, Haitong International topped the list in the CBBC market among all issuers. This June, Haitong International was approved by HKEX to launch warrants for the NYSE-listed stock Alibaba to further meet investors' needs for derivatives on the U.S. technology stocks.

Haitong International has been making innovations in recent years and sees great breakthroughs in fintech



application. It officially launched the “algorithm execution services” business in 2017, becoming the first Hong Kong-based Chinese financial services provider with proprietary electronic trading platform to better serve its global clients. The platform now covers eight major global markets including the U.S., Hong Kong and Japan, providing 24-hour financial services to its clients.

The Asset is Asia’s preeminent media in wealth management, derivatives and structured products. The Asset awards shed lights on the market and excellent products for the industry and investors.

– END –

Haitong International Securities Group Limited

Haitong International Securities Group Limited (“Haitong International”; Stock Code: 665.HK) is an international financial institution with established presence in Hong Kong and a rapidly expanding network across the globe. It strives to serve as a bridge linking up the Chinese and overseas capital markets. The parent company of Haitong International is Haitong Securities Co. Ltd (“Haitong Securities”, Stock Code: 600837.SH; 6837.HK).

To date, Haitong International has well positioned to serve about 200,000 corporate, institutional, as well as retail and high-net worth clients worldwide. Its well-established financial services platform provides corporate finance, wealth management, asset management, institutional clients (key businesses include fixed income, currency and commodities (FICC), derivatives, institutional equities) and investments business, and a full spectrum of financial products and services. Haitong International possesses a sound risk management system that is in line with international standards. The company has been assigned a “Baa2” long-term issuer rating by Moody’s and a “BBB” long-term credit rating by Standard and Poor’s. Haitong International has a global financial servicing network covering the world’s major capital markets including Hong Kong, Singapore, New York, London, Tokyo, Mumbai and Sydney, thereby making it to be a leading global financial institution with international competitiveness, systematic importance and brand influence.

For further information, please contact:

Haitong International Securities Group Limited

Sarah Yan

Tel : (852) 2213 8588

Email: sarah.th.yan@htisec.com