

Haitong International Named “Securities House of the Year” by Asia Risk

Hong Kong, 13 September 2018 – Haitong International Securities Group Limited (“Haitong International”, Stock Code: 665.HK) was named as the “Securities House of the Year” in *Asia Risk Award 2018* for the second consecutive year. The award aims to recognize a securities house in Asia with excellent performance in financial risk management and derivatives.



An effective risk management framework and a sound risk management culture are fundamental to ongoing operations and successful development of the company. As one of the financial institutions with the most comprehensive products in Hong Kong, Haitong International possesses a comprehensive and stringent risk management framework in line with the international practices, which includes a three-tier system for risk management and three lines of defense for risk control in line with its risk management infrastructures. The company has implemented risk management policies in every single business unit to establish an all-round risk control mechanism. In line with the international practices, its risk management department has established six functional units for managing credit risk, market risk, operational risk, reputation risk, technology risk and risk methodology and analysis respectively.

Proactive efforts have also been made to promote and foster a risk management culture taking the three principles of risk management, namely the principles of independence, partnership and prudence to ensure effective risk management.

Haitong International’s risk management capability is widely recognized by international authoritative institutions. Following the granting of BBB long-term issue rating by S&P Rating Services in 2014, the



company was granted with Baa2 long-term issue rating and Prime-2 short-term issue rating by Moody's with positive outlook, and was awarded as "Securities House of the Year" by Asia Risk in 2017.

Asia Risk is the only international publication in Asia specializing in covering financial risk management and derivatives. This year marks the 19th anniversary that the magazine holds the Asia Risk Award event.

– END –

Haitong International Securities Group Limited

Haitong International Securities Group Limited ("Haitong International"; Stock Code: 665.HK) is an international financial institution with established presence in Hong Kong and a rapidly expanding network across the globe. It strives to serve as a bridge linking up the Chinese and overseas capital markets. The parent company of Haitong International is Haitong Securities Co. Ltd ("Haitong Securities", Stock Code: 600837.SH; 6837.HK).

To date, Haitong International has well positioned to serve about 200,000 corporate, institutional, as well as retail and high-net worth clients worldwide. Its well-established financial services platform provides corporate finance, wealth management, asset management, institutional clients (key businesses include fixed income, currency and commodities (FICC), derivatives, institutional equities) and investments business, and a full spectrum of financial products and services. Haitong International possesses a sound risk management system that is in line with international standards. The company has been assigned a "Baa2" long-term issuer rating by Moody's and a "BBB" long-term credit rating by Standard and Poor's. Haitong International has a global financial servicing network covering the world's major capital markets including Hong Kong, Singapore, New York, London, Tokyo, Mumbai and Sydney, thereby making it to be a leading global financial institution with international competitiveness, systematic importance and brand influence.

For further information, please contact:

Haitong International Securities Group Limited

Sarah Yan

Tel : (852) 2213 8588

Email: sarah.th.yan@htisec.com