

Haitong International Garneres Four Awards in Bloomberg Businessweek's Financial Institution Awards 2018

Hong Kong, 25 May, 2018 – Haitong International Securities Group (Stock Code: 665.HK) garnered four awards – “Derivatives of the Year”, “Securities Company of the Year”, “Wealth Management Platform” and “Risk Management” in Bloomberg Businessweek's Financial Institution Awards 2018.



“Derivatives of the Year”

In the area of derivatives business, Haitong International is one of the financial institutions in Hong Kong with the most comprehensive product range covering all derivatives products for open markets and OTC markets. Key businesses include synthetic prime brokerage services, ETF and leverage and inverse products, warrants, CBBCs and all are in leading market positions. In 2017, Haitong International launched 808 warrants and 327 CBBCs. Moreover, it also conducted market-making for 63 ETFs.

“Securities Company of the Year”, “Wealth Management Platform”

In the second half of 2017, Haitong International has upgraded its original brokerage business to wealth management business with the main segments of retail and private wealth management with services including Ultra High Net Worth Wealth Management and Private Wealth Management. In terms of product offering, in addition to equities and bonds products, Haitong International has also offered OTC products and other third-parties products to fulfil different investment needs. Meanwhile, Haitong International was named as “Top Shanghai Connect Trading – Chinese Broker” and “Top Shenzhen Connect Trading – Chinese Broker” by the Hong Kong Exchanges and Clearing Limited (HKEX) in its Stock Connect Awards for 2017.

In February this year, Haitong International obtained the membership of Private Wealth Management Association (“PWMA”) and has become the tier-1 member of PWMA. With active participation in PWMA’s industry summits, Haitong International will keep itself well-informed of the latest industry information and professional knowledge, and gain market insights to maintain its leadership in the private wealth management field and to yield more returns for clients.



“Risk Management”

Risk management is indispensable foundation for corporate growth. Haitong International has formulated its comprehensive definition, identification and measurement on risk, being enhanced with effective management from an overall perspective. The company has implemented risk management policies in every single business unit and deployed risk management specialists across market risk, credit risk and operational risk. The risk management teammates have been chartered with FRM qualification and obtained professional experience in various global investment banks.

Haitong International empowers its growth with excellent risk management as well as strong capital resources. Pursuant to recognition of BBB long-term issue rating by S&P Rating Services in 2014, the company was recently granted with Baa2 long-term issue rating and Prime-2 short-term issue rating by Moody’s with positive outlook, the highest rating issued by the rating agency for Chinese financial institutions. The company has multiple sources of capital and lower leverages than international peer companies, which enables the company to become the only Hong Kong-based subsidiaries of Chinese securities companies assigned an individual rating by Moody’s, reflecting a strong recognition of Haitong International’s branding and risk management abilities.

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Haitong International Securities Group Limited

Haitong International Securities Group Limited (“Haitong International”; Stock Code: 665.HK) is an international financial institution with established presence in Hong Kong and a rapidly expanding network across the globe. It strives to serve as a bridge linking up the Chinese and overseas capital markets. The parent company of Haitong International is Haitong Securities Co. Ltd (“Haitong Securities”, Stock Code: 600837.SH; 6837.HK).

To date, Haitong International has well positioned to serve about 200,000 corporate, institutional, as well as retail and high-net worth clients worldwide. Its well-established financial services platform provides corporate finance, wealth management, asset management, institutional clients (key businesses include fixed income, currency and commodities (FICC), derivatives, institutional equities) and investments business, and a full spectrum of financial products and services. Haitong International possesses a sound risk management system that is in line with international standards. The company has been assigned a “Baa2” long-term issuer rating by Moody’s and a “BBB” long-term credit rating by Standard and Poor’s. Haitong International has a global financial servicing network covering the world’s major capital markets including Hong Kong, Singapore, New York, London, Tokyo and Mumbai, thereby making it to be a leading global financial institution with international competitiveness, systematic importance and brand influence.

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